



RASHTRASANT TUKADOJI MAHARAJ NAGPUR UNIVERSITY

(Established by Government of Central Provinces Education Department by Notification No. 513 dated the 1st of August, 1923 & presently a State University governed by Maharashtra Universities Act, 1994)

DIRECTION NO. 10 OF 2011

DIRECTION PRESCRIBING ELIGIBILITY CRITERIA AND PROCEDURE FOR REGISTRATION OF CANDIDATES, SELECTION OF SUPERVISORS/ GUIDES AND RESEARCH TOPICS, THESIS SUBMISSION AND ITS EVALUATION FOR THE DEGREE OF DOCTOR OF PHILOSOPHY IN ALL THE FACULTIES.

(Direction issued under Section 14(8) of Maharashtra Universities Act, 1994)

Whereas, the Maharashtra Universities Act No. XXXV of 1994 has come into force with effect from 22nd July, 1994.

AND

Whereas, the University Grants Commission has issued a Notification F.I-I/2002/(PS)Exemp-, dated 1st June, 2009, and published in the Gazette of India, dated 11^{/17th} July, 2009, UGC (Minimum Standards and procedure for Awards of M. Phil./ Ph.D. Degree) Regulations, 2009, for an appropriate action.

AND

Whereas, the Academic Council at its meeting held on 24th September, 2009, vide item No. 27 has approved the said Notification in regard to the UGC (Minimum Standards and procedure for Awards of M. Phil./ Ph.D. Degree) Regulation, 2009 and referred it to the Deans Committee.

AND

Whereas, the Management Council at its meeting held on 20th November, 2009, vide item No. 200 has approved the said Notification in regard to the UGC (Minimum Standards and procedure for Awards of M. Phil./ Ph.D. Degree) Regulation, 2009.

AND

Whereas, the Regulation, 2009, recommended by the University Grants Commission which are made effective from 1st June, 2009, need to be implemented by framing ordinance in that behalf and as the process of framing of ordinance would require necessary time and it is expedient to give effect to the provisions published in the Gazette of India, dated 11^{/17th} July, 2009, expeditiously.

AND

Whereas, the existing ordinances governing award of Ph.D. degree are found to be inconsistent with UGC (Minimum Standards and procedure for Awards of M. Phil./ Ph.D. Degree) Regulation, 2009 and hence the said ordinances can not be continued to be in force.

AND

Whereas the Direction No. 7 of 2011 was issued under section 14(8) prescribing eligibility criteria and procedure for registration of candidate, selection of supervisor/ guides, and research topics, thesis submission and its evaluation for the degree of Doctor of Philosophy in all the faculties,

AND

Whereas the committee constituted for evaluating the provisions of Direction No. 7 of 2011 has pointed out certain modifications needed to be included,

AND

Whereas the said committee has made various recommendations in its report which are found to be necessary to be included in Direction No. 7 of 2011,

Now, therefore, I, Dr. Vilas Sapkal, Vice-Chancellor, Rashtrasant Tukadoji Maharaj Nagpur University, Nagpur in exercise of powers vested in me under Section 14(8) of the Act, do hereby issue the following Direction:

- 1) This Direction may be called “ **Direction prescribing eligibility criteria and procedure for registration of candidates, selection of supervisors/ guides and research topics, thesis submission and its evaluation for the degree of Doctor of philosophy in all the faculties**”.
- 2) This Direction shall come into force with effect from the date of its issuance.
- 3) Subject to his/her compliance with the requirements of this direction and of other Ordinances in force from time to time, for admission to the Ph.D. programme in a related subject in the faculty, applicant fulfilling the following criteria shall be treated to be eligible :
 - a) Persons should have valid score in Ph.D. Entrance Test (PET) as prescribed in the rules. (Candidates who score 50% and more shall be declared as successful) and
 - b) Persons having passed Post Graduate Degree (Master’s Degree) Examination with at least 50% marks or equivalent Grade Point Average (GPA) **of this university or any other examination recognized as equivalent thereto.**

Provided that, relaxation of 5% marks shall be provided in case of (a) & (b) above for the candidates belonging to reserved category in the State of Maharashtra.

OR

- c) Persons working in National Laboratories/ Institutes /Government / Private organizations nominated/sponsored by the respective employers. Such persons should have a Post Graduate Degree and should be holding rank of Assistant Director or above. The candidates who have obtained Master’s degree of any statutory Indian University but working outside India shall be included in this category,

OR

- d) Persons with exceptional research abilities/ contribution to be judged by Research and Recognition Committee who have passed Graduate Degree Examination with 50% of marks and with 15 years experience after graduation in related fields.

OR

- e) The fellow members of the Institute of Chartered Accountants and/ or Institute of Cost and Works Accountants and/ or having qualification of C.S. shall be held eligible for registration for Ph.D. in the subject in the concerned Board of Studies in the faculty of Commerce provided that they possess a Bachelor’s Degree of any statutory University. Such candidate should have at least 5 years of professional experience.

OR

- f) A Graduate in any faculty who has developed important new techniques (new for the country) or designed and fabricated special instruments or apparatus which are deemed by competent judge to be a valuable contribution to Engineering/Pharmacy field may be permitted by the Research and Recognition Committee of concerned faculty. Such a candidate must have at least five years of experience after obtaining Bachelor's degree in the concerned faculty.

4) **INTER DISCIPLINARY RESEARCH**

Application for research in inter-disciplinary areas and from applicants belonging to a faculty or subject other than the faculty or subject in which the research is proposed to be done **by candidate of any Indian Statutory University** and from the international candidates (those who have not obtained the Master's degree from Indian University) shall be considered to be eligible on the basis of the proven ability and aptitude of the researcher for such kind of research. Such proposal shall be examined by the Guide and the Head, Place of research before forwarding the application for Ph.D. Registration. The registration shall be confirmed by the concerned Research and Recognition Committee.

5) **EXEMPTION FOR Ph.D. ENTRANCE TEST (PET):**

The candidates fulfilling one of the following conditions shall be exempted from PET.

- (i) Qualified in GATE/SET/NET/JRF examination of the apex bodies such as IIT/ CSIR / UGC /ICAR/CMR/DBT etc.
- (ii) Candidates holding M.Phil. degree in the concerned subject from any Statutory University.
- (iii) Full time teacher of any statutory University or full time approved teacher in an affiliated college of any statutory University with minimum 7 years of teaching experience.
- (iv) Scientists/ Officers working in Government organizations, National laboratories and research institutions having 7 years research/ professional experience,

The Ph.D. registration form (**Annexure- I**) shall be submitted by the candidates exempted from PET with relevant supporting documents, to the Head, Place of Research.

6) **PROCEDURE FOR CONDUCT OF Ph.D. ENTRANCE TEST(PET)**

PET shall be conducted twice in every academic year as notified by the University from time to time. The procedure for conduct of PET shall be governed by Rules prescribed in that behalf from time to time.

7) **VALIDITY OF RESULT OF PET**

The result of PET shall be valid for a period of 12 months from the date of holding of entrance examination. The candidate who has been decided to be successful shall be eligible to submit application(s) for registration within the period of 12 months. However, after expiry of period of 12 months, the candidate shall be required to appear for PET afresh if he fails to submit application or if the application for registration is not approved by Research and Recognition Committee.

8(a) **ALLOTMENT OF RESEARCH GUIDE/CO-GUIDE :**

The eligible candidate who is declared to be successful in the PET or the candidate who is exempted from PET shall approach the Place of Research where he/she intends to do the research work. On the basis of number of seats available with the approved Ph.D. Guides, the available specialization among the Ph.D. Guides and the research interest of the candidate, the guide shall be allotted by Head of the Place of Research to the candidate in consultation with the guide and student in formal way.

While granting admission to candidates for Ph.D. programme, due attention shall be paid to the State Reservation Policy.

(b) **ADMISSION/ REGISTRATION:**

- (i) After allotment of guide, an eligible applicant seeking admission to the Ph.D. programme shall apply for registration to the Controller of Examinations, Rashtrasant Tukadoji Maharaj Nagpur University, Nagpur through guide and the Head of the Place of Research in the prescribed Ph.D. registration form (**Annexure- I**) along with the registration fees as may be prescribed from time to time alongwith relevant supporting documents on or before 15th July/ 15th January, every year. These dates shall be considered as dates of registration after the approval of Research and Recognition Committee.
- (ii) The applicant shall submit along with the application synopsis of the proposed research work in eight copies to the University.
- (iii) Every application for registration shall be placed for consideration before the Research and Recognition Committee of the concerned subject, constituted as per section 36(A)(5) of the Act. The Research and Recognition Committee shall take decision either to accept, reject or suggest necessary modifications in the research topic on the basis of personal interview /presentation by the candidate. The decision of Research and Recognition Committee shall be final. After acceptance of the application by the Research and Recognition Committee, the candidate shall be treated as a registered Ph.D. candidate.

The concerned guide may be invited during the interview/ presentation by the candidate, if deemed fit by Research and Recognition Committee.

- 9) (a) The admission will be confirmed after the Research and Recognition Committee approves the topic and grants registration. The Head of Place of Research shall admit the candidate as per the rules and regulations of Rashtrasant Tukadoji Maharaj Nagpur University, Nagpur or the rules prescribed by the concerned institute.
(b) After approval of application by Research and Recognition Committee, the particulars of the candidate, title of research work, research guide and place of work shall be displayed on the website of the University within a period of one month from the date of approval by Research and Recognition Committee.
- 10) The registered candidates shall not be allowed to register simultaneously for any other degree course.
- 11) If the candidate is desirous, he/she can be permitted to partially modify the title of the proposed plan of the research work by the concerned Research and Recognition Committee. The proposal for partial modification of title of research work or plan of research work should be recommended by the guide and Head of the Place of Research.

12) **COURSE WORK:**

- (a) The course work is compulsory and it will be treated as pre Ph.D. preparation. The course work must include topics on research methodology , quantitative methods of computer application , seminars, review of published research work in the relevant field.
- (b) The evaluation of course work shall be done by the concerned Guide. Completion report of the Course work shall be submitted by Guide to Head of the Place of Research in duplicate (**Annexure- II**). Copy of completion report shall be thereafter forwarded by Head of the Place of Research to the University Ph.D. Cell.
- (c) If found necessary by guide with consent of Head of the Place of Research, course work may be carried out by the candidates in sister departments/institutes either within or outside the University In such case, completion report of the course work shall be submitted by the Head of the concerned sister department/institute to the guide who shall forward it to the Head of the Place of Research. Copy of the completion report shall be thereafter forwarded by the Head of Place of Research to University Ph.D. Cell.

13) **SUPERVISION**

(A) GUIDE

- (1) Normally a candidate shall be required to complete his/her doctoral research under the supervision of allotted (original) approved guide. However, the Research & Recognition Committee concerned may allow change of guide on the production of a 'No Objection Certificate' from the original guide and an acceptance letter from the new guide. In case of such a change, the candidate shall work for a minimum period of one calendar year under the new guide before he/she submits the thesis. The requirement of '**No Objection Certificate**' shall not be necessary if the candidate justifies the non-availability of his original guide. The justification will have to be endorsed by the Head, place of research.

Provided further that in specific cases Co-guide/ second Supervisor shall also be permitted for justified reasons. However, Guide and Co-guide shall not be from the same specialization.

(B) SELF GUIDE

The research and recognition committee may on considering the published research work of the candidate and / or his / her teaching /research experience of 15 or more years in senior college/institute, exempt him/her from carrying on his/her research work leading to Ph.D. degree under the guidance of a guide and allow him/her to carry out his/her research work under self guidance. The decision of the Research and Recognition Committee in this regard shall be final. The research and recognition committee may in such cases prescribe any additional condition(s), which it may deem proper.

- (C) At any given time a supervisor shall not have more than eight registered Ph.D. candidates in the capacity as guide and/ or co-guide taken together.
- (D) In case of a dispute between a candidate and his guide or a co-guide, the committee consisting of the following members shall examine the matter and report to the Vice-Chancellor, whose decision shall be final:
 - (i) Dean of the concerned faculty (Chairman)
 - (ii) Nominee of the Vice-Chancellor
 - (iii) The Head, place of research (If the complaint is against the Head or the Dean, he/she shall not participate in the proceedings of the meeting. In that case, additional member/s may be nominated by the Vice-Chancellor)
 - (iv) The report shall include among other things, specific recommendations. The report shall be submitted to the Vice-Chancellor, who shall have jurisdiction to issue necessary directions in the matter.

(E) PROGRESS REPORTS

- (1) Every registered candidate shall submit to the Controller of Examinations of the University through the Head, place of research and the guide the progress report of his/her research after every six months. **(Annexure- III)** If a candidate fails to submit three reports consecutively, his/her registration may be cancelled by Research and Recognition Committee on recommendation of guide and of Head of place of Research.
- (2) The Head, Place of Research after the completion of the given period (one and a half years) shall send to the University office within 15 days a report on the noncompliance of the condition stated in 13 E(1).

14) TENURE OF REGISTRATION :

The registration of the candidate shall be valid and shall remain in force for a period of 5 years from the date of registration and shall stand cancelled automatically on expiry of 5 years.

Provided that extension upto maximum period of 12 months shall be permissible in those cases which are recommended by the Guide and Head of the Place of research and the decision for extension shall be taken by Research and Recognition Committee. The application for extension is required to be submitted at least 3 months prior to the date of expiry of registration. After expiry of extended period of registration the candidate shall be required to apply for registration a fresh following the denovo procedure.

15) CANCELLATION OF REGISTRATION :

(a) Voluntary Cancellation :

The candidate can opt for voluntary cancellation of registration on his/her own volition. In that event the application duly signed by the candidate and duly endorsed by the Guide and Head of Place of Research shall be submitted to the Controller of Examinations. The candidate shall personally appear before the Controller of Examination and shall submit a declaration of cancellation in his hand writing. The Controller of Examination shall then endorse the declaration and forwarded the application to Research and Recognition Committee. The registration shall stand cancelled from the date of approval by Research and Recognition Committee.

(b) Cancellation for misconduct :

If the candidate after registration during the course of his research work is found to be guilty of commissions and omissions amounting to misconduct like indiscipline, dereliction of duties unbecoming behavior, insubordination etc., the registration shall be cancelled by way of punishment on the receipt of report from the Guide and Head of Place of Research work.

Provided that the Head of Place of research work before submitting report to the University shall grant an opportunity to the candidate for submitting his explanation and shall conduct such enquiry as deemed necessary.

Provided further that in the event the candidate whose registration is so cancelled has received scholarship/financial assistance from funding agencies like UGC, CSIR, University etc., the amount so received shall be recovered from the Candidate.

Provided also that the involvement of the candidate in a criminal offence involving moral turpitude shall be treated to be a misconduct and his/her registration shall be cancelled with immediate effect on his/her conviction by the Competent Court. There would be no requirement of opportunity for cancellation of registration on the ground of conviction.

(c) Cancellation for failure to abide by the provisions of this Direction and/or Rules framed by the University :

The registration of the candidate committing breach of provisions of this direction and/or Rules framed by the University shall be cancelled by the Controller of Examination on receipt of the report from the Guide and the Head of Place of Research and the approval from Research and Recognition Committee.

Provided that the Head of Place of Research shall before submitting report to the University shall provide opportunity to the candidate to submit his explanations.

Provided further that in the event the candidate whose registration is so cancelled has received scholarship/ financial assistance from funding agencies like UGC, CSIR, University etc, the amount so received shall be recovered from the Candidate.

16) SUBMISSION AND EVALUATION OF THESIS

(A) SUBMISSION OF THESIS

- (1) The submission of summary of the thesis may be permitted only after completion of twenty two months from the date of registration. The summary should contain introduction, chapter wise brief account of the work done and overall conclusions. Ph.D. candidate has to publish one research paper in a standard refereed journal/ monograph before the submission of the thesis for adjudication, and produce evidence for the same in the form of acceptance letter or the reprint. The list of the reputed journals in the subject shall be prepared and maintained by the respective Research and Recognition Committee.
- (2) The thesis can be submitted after two months from the date of submission of summary. At least three months before the date of submission of the thesis each candidate shall give a pre-submission seminar to be arranged by the Head of the place of research on the request of the candidate duly endorsed by the guide. The relevant suggestions if any given by other research scholars, other research guides and the Head Place of Research or his/her nominee present for such a seminar may be considered while preparing the final draft of the thesis.
- (3) On the basis of discussions and suggestions made in the pre submission seminar the candidate shall submit to the Controller of Examinations ten copies of the summary of his/her thesis through his/her guide within one month from the date of seminar. (The guide may suggest list of referees to the Research and Recognition Committee.)
- (4) The candidate shall be allowed to submit his/her thesis after the completion of a period of two months and before six months from the date of submission of the summary, failing which the candidate will have to pay the prescribed fine to be decided by the University from to time for late submission. Late submission of thesis

shall be allowed up to the completion of one year from the date of submission of the summary or till the expiry of the registration period, whichever is earlier.

- (5) Five copies of the thesis shall be submitted in compact bound form along with a soft copy (CD), through the research guide and Head of Place of Research.
- (6) The final thesis shall be presented in accordance with the following specifications:
 - (a) The paper used for printing shall be of A4 Size.
 - (b) Printing shall be in a standardized form on one side of the paper and with minimum of one and- half spacing.
 - (c) A margin of one-and-a half inches shall be on the left hand side.
 - (d) The title of the thesis, name of the candidate, degree, name of the guide, place of research the month and year of submission shall be printed on the title page and the front cover.
 - (e) Side cover should mention Ph.D. thesis on the top, name of the candidate and month and year. **(The specifications are shown in Annexure -IV.)**
- (7) The thesis shall include a Certificate of the guide (**Annexure- V**) and a Declaration by the candidate (**Annexure - VI**) that the work reported in the thesis has been carried out by the candidate himself/herself and that the material from other sources, if any, is duly acknowledged. The thesis should also accompany separately (i) Certificate of course completion (ii) Certificate of pre-submission seminar issued by guide and Head, Place of Research. (**Annexure-VII**).
- (8) The thesis shall be written in English/Marathi/Hindi except when it is related to modern European or Indian Languages. If the thesis of the candidate is not in English then the candidate shall be required to submit five copies of summary of thesis in English for being sent to the referees. The English transcript as above shall be certified by the candidate to be a true English summary of the thesis countersigned by his/her Guide. In the Faculty of Science, Law, Engineering and Technology, Medicine (Pharmaceutical Science) the thesis shall be written in English only,

(B) APPOINTMENT OF REFEREES :

- (1) On receipt of the copies of the summary of the thesis alongwith the list of referees suggested by the guide shall be placed before the Research and Recognition Committee for recommending panel of referees for the evaluation of the thesis comprising of not less than nine referees in alphabetical manner with their full address, e-mail address, telephone number, mobile number and pin code for correspondence.

The procedure for the appointment of referees shall be undertaken soon after the candidate submits his/her summary.

Provided that the panel shall be recommended in such a fashion so as to include at least three referees within the State but out of the jurisdiction of Rashtrasant Tukadoji Maharaj Nagpur University and at least six referees outside the State and/ or outside India if available.

Provided further that if the referees within the State are not available the panel shall be recommended so as to have all the referees outside the State of Maharashtra.

- (2) The panel recommended by the Research and Recognition Committee will be placed before the Committee, appointed under section 32(5) of the Act and the said committee shall recommend the names of the referees in order of preference category-wise for being finally approved and appointed by the Board of Examinations.

- (3) The thesis shall be evaluated by three external referees for all the faculties. Out of three referees, at least two referees should be from outside State of Maharashtra.

(C) EVALUATION

- (1) After the candidate has submitted the copies of thesis and Board of Examinations has appointed category-wise panel of referees, the Controller of Examinations shall preferably within one week request the first referee on each of the categories on the panel to communicate within 15 days their willingness to examine the thesis. Copy of the summary of the thesis submitted by the candidates shall be sent to referees alongwith the request letter. If the referee fails to convey his/her acceptance within 15 days, Controller of Examinations shall send a reminder to referee to send the acceptance letter immediately.

Provided that if the referee fails to communicate his /her acceptance/ willingness within 30 days from the date of dispatch of the first invitation letter, Controller of Examinations shall send the invitation letter to next referee in the panel

When the referee has accepted to examine the thesis, the Controller of Examinations shall forward the thesis to him/her within one week after receipt of letter of acceptance and shall request the referee to submit the report within 45 days from the receipt of the thesis.

- (2) The external referees shall independently send their reports in the prescribed format to the Controller of Examinations within forty-five days from the date of receipt of the thesis. If the referee fails to do so, the Controller of Examinations shall send him/her a reminder immediately after the expiry of the said period and request him/her to submit the report within thirty days. If the concerned referee fails to comply even within the extended period the Controller of Examinations shall cancel his appointment and invite the next referee from the approved panel to evaluate the thesis. In the event of a request for the late submission of a report, receipt of the report after the appointment has been cancelled or the loss of report, postal delay, etc. the Dean concerned shall take an appropriate decision in the interest of the candidate concerned.

- (3) (i) If the referee(s) by majority recommend the acceptance then the candidate shall be required to undergo an open viva-voce for being declared to be eligible for the award of Ph.D. Degree. After the satisfactory viva-voce test the candidate shall become eligible for the Award of Ph.D. Degree.

(ii) If the referees by majority do not approve the thesis, then the candidate shall not be eligible for award of Ph.D. Degree.

(iii) If the referees by majority recommended revision of the thesis, then the candidate shall submit revised thesis (five copies) within 12 months from the date of the communication by the Ph.D. Cell and the revised thesis shall then be sent to all three original referees and it will be subjected to the process of evaluation and conduct of viva-voce test as prescribed above.

(iv) If out of three referees, one recommend the acceptance of thesis, the second referee recommends rejection and third referee recommends revision then the following procedure will be followed :

- (a) the recommendation of referees for revision will be considered first and thesis will be sent back to the candidate for revision who shall submit revised thesis (five copies) within 12 months from the date of communication by the Ph.D. Cell. The revised thesis shall be sent back to two referees for evaluation, that is :

- 1) the referees who had recommended revision and
- 2) the referee who had rejected the thesis

(b) If the thesis is accepted by one of the above referees, then by majority the candidate shall be declared eligible for appearing in the open viva-voce test.

(v) The guide of the candidate shall be the internal referee for open defence. In case of a candidate working independently or the guide is not available then defence shall be conducted by the external referee.

(4) After ascertaining that the reports are favourable, Controller of Examinations shall arrange the viva and the defence of the thesis on the earliest date suitable to the internal examiner, preferably the nearest external examiner and the Head of the Place of Research who will act as Chairman. In case the Head of the place of research is not available he/she shall nominate senior research guide as Chairman for the defence. The Controller of Examinations shall make the reports available to the Head of Place of research/ Chairman a day before the date of the viva. In case of any problem, the Dean of the concerned faculty will take appropriate decision.

17) VIVA VOCE AND OPEN DEFENCE OF THE THESIS

- (1) The day, date, time and the place for the viva voce and the open defense of thesis shall be notified by the Controller of Examinations preferably eight days in advance. Normally the viva voce and the open defence of the thesis shall be arranged in the University Department. In exceptional cases, the Vice-Chancellor may permit the viva to be conducted at a place of research outside the University campus. In such a case the procedure and norms for the conduct of viva, payments, etc. shall be as laid down by the University.
- (2) The open defence of the thesis shall take place in presence of guide (internal referee), one external examiner and the chairman, who shall jointly evaluate the performance of the candidate. In case of dispute, Dean of the concerned faculty shall take the appropriate decision.
- (3) If the external referee is unable to be present at the time of the open defense, the Vice-Chancellor, on the recommendation of the guide and the Dean of the concerned faculty shall appoint a senior research guide to act as an external referee for the open defense of the thesis. In case the internal referee is not available, the Vice-Chancellor shall appoint one of the senior research guides as an internal referee on the recommendation of the Dean of the concerned faculty & Head of the place of research.
- (4) The referees present for the final viva-voce and the open defense of the viva-voce of the thesis shall submit to the Controller of Examinations their final report in written form duly signed by them immediately after the open defence is over alongwith the copy of the thesis through Chairman of the Viva-voce about the award of Ph.D. degree immediately. In case of dispute between Internal and External referees, the decision of external referee shall be final.
- (5) In case the defence is not satisfactory, the referees may unanimously recommend with reasons that a fresh viva-voce and open defense of the thesis be organized within period of not less than one month. If the defence is still not-satisfactory the committee would record the reasons for the same and refer it to Board of Examinations for consideration.
- (6) The result shall be officially declared by the Controller of Examinations within eight days from the date of the receipt of the favourable report on the defence of the thesis.

(7) In case some facilities of research do not exist in the jurisdiction of Rashtrasant Tukadoji Maharaj Nagpur University, the candidate may be permitted by the Guide and Head of the Place of Research to carry out his research work at an approved Institution beyond the jurisdiction of Rashtrasant Tukadoji Maharaj Nagpur University for such period as may be approved by Research and Recognition Committee.

18) DEPOSITORY WITH UGC

(1) Following the successful completion of the evaluation process and announcements of the award of Ph.D., the University shall submit a soft copy of the Ph.D. thesis to the UGC within a period of thirty days, for hosting the same in INFLIBENT accessible to all Institutions/Universities.

(2) The University shall issue a provisional certificate, certifying to the effect that the Degree has been awarded in accordance with the Regulations issued by the UGC as per the Notification dated 1st June 2009 and published in Gazette on 11th July, 2009.

19) The Ph.D. degree shall be conferred on the candidate in the ensuing convocation of the university.

20) Upon promulgation of this Direction, Ordinance No. 2 of 2005, 29 to 35 of 2008 and Direction No. 7 of 2011 shall stand repealed.

21) **SAVING CLAUSE:**

Notwithstanding the repeal of ordinance No. 2 of 2005 and 29 to 35 of 2008 it is clarified that all cases in which registration has been already granted shall continue to be governed by the respective ordinances, even if repealed by virtue of this Direction. The procedure of submission of thesis and evaluation shall be governed by the said ordinances notwithstanding their repeal.

Nagpur

Date : 17/06/2011

(Dr. V.S. Sapkal)
Vice-Chancellor



ANNEXURE-I

Application fee Rs. 50/-
Registration fee: Rs. 300/-

Faculty of _____
Subject: _____

RASHTRASANT TUKADOJI MAHARAJ NAGPUR UNIVERSITY

“(Established by Government of Central Provinces Education Department by Notification No. 513 dated the 1st of August, 1923 & presently a State University governed by Maharashtra Universities Act, 1994.)”



Passport size
photo

**APPLICATION FORM FOR REGISTRATION AS A RESEARCH STUDENT FOR THE DEGREE OF DOCTOR
OF PHILOSOPHY IN THE FACULTY OF _____ SUBJECT _____**

This form must be submitted to the controller of Examinations, Rashtrasant Tukadoji Maharaj Nagpur University, through the Supervisor and Head of Place of Research where proposed research is carried out, so as to reach him on or before 15th July/ 15th January.

To,

The Controller of Examinations,
Rashtrasant Tukadoji Maharaj
Nagpur University, Nagpur.

Sir/Madam,

I hereby apply for being registered as a research student for the degree of Doctor of Philosophy (Ph.D.) in the Faculty of _____ Subject _____ of the Rashtrasant Tukadoji Maharaj Nagpur University, Nagpur.

The required fee of Rs. _____ has been paid in the University account on date _____ vide receipt No. _____. (A copy of the receipt is enclosed herewith)

1) Name in Full : _____
(In Block Letters) Surname Middle Name First Name

2) Name of Mother : _____

- 3) Name of Father/Husband : _____
- 4) Date of Birth : _____
- 5) Permanent Address : (In words _____

- 6) Address for Correspondence : _____

- Telephone No. : _____ (M) _____
- (E-mail) _____
- 7) Marital Status: (Married/ Single) _____
- 8) Religion : _____
- 9) Nationality : _____
- 10) Caste : _____
- 11) (a) Whether belong to backward class: Yes/ No _____
 (b) If yes SC/ST/OBC/VJ/NT/SBC Specify category _____
- 12) Mother Tongue : _____ Languages Known _____
- 13) Name of the University from where qualifying degree has been obtained: _____

- 14) College last attended : _____
- 15) Enrolment Number, if passed from R.T.M. Nagpur University: _____
- 16) Details of Examination passed:

Examina- tion passed	University	Year	Subject offered	Division	Aggregate Marks	Percentage/ grade

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- 17) Are you employed? Yes/No : _____
- (a) Designation : _____
- (b) Address of office : _____
- 18) Subject (Relating to the Board of Studies in the Faculty) : _____
- 19) Topic of Research : _____
- 20) Name and address of the Department/ Institution where the proposed research is to be carried out : _____
- 21) Name, designation and address of the allotted Guide under whose supervision the proposed research is to be prosecuted _____
- 22) Recognition number of Guide : _____
- 23) Whether the Ph.D. Entrance Test conducted by this University passed: Yes/No
If yes please give details :
- (a) Roll Number : _____
- (b) Percentage obtained : _____
- 24) Whether the candidate is exempted from Entrance Examination: Yes/ No
If yes, please give details : _____
- 25) List of documents enclosed : _____

Place : _____
Date : _____

(Signature of the Candidate)

(Signature of the Guide/Co-Guide)

INSTRUCTIONS:

- 1) True copies of the mark-list/degree certificate must be attached
- 2) Candidate who has passed the qualifying examination from any University other than Rashtrasant Tukadoji Maharaj Nagpur University, Nagpur should submit an original eligibility certificate from Rashtrasant Tukadoji Maharaj Nagpur University, Nagpur.
- 3) If the Candidate belonging to the Backward Community, copy of caste/validity certificate must be enclosed. (wherever is essential a copy of Non- creamy layer certificate is also enclosed)
- 4) Incomplete application will be rejected under any circumstances.

UNDERTAKING

I promise to abide by the provisions of Direction, rules and regulations issued in this regard from time to time and discipline of the University and read the above mentioned instructions carefully.

(Signature of the Candidate)

ENDORSEMENT OF THE GUIDE

- 1) I am willing to supervise the research work of the applicant. The proposed subject of research and the outline enclosed herewith have my approval
- 2) The subject of research refers to the Board of Studies in _____ in this Faculty of _____
- 3) The number of students already registered to work under my supervision is _____
- 4) I have been recognised / not been recognised as a research supervisor by the University vide No. _____.

(Signature of the Guide/ Co-Guide)

ENDORSEMENT OF THE HEAD OF PLACE OF RESEARCH

Forwarded and recommended. Necessary facilities available in this Department/ Institution will be provided to the applicant.

Date:

Head of the Place of Research



ANNEXURE-II

Faculty of _____

Subject: _____

RASHTRASANT TUKADOJI MAHARAJ NAGPUR UNIVERSITY

“(Established by Government of Central Provinces Education Department by Notification No. 513 dated the 1st of August, 1923 & presently a State University governed by Maharashtra Universities Act, 1994.)”



COURSE WORK COMPLETION CERTIFICATE

I _____ hereby certify that the course work on research methodology, quantitative method of computer application, seminar review of published research work in the relevant field for a minimum period of one semester or a term of half year as per Direction has been completed satisfactorily by _____.

Signature of the Guide

Head of the Place of Research



Faculty of _____
Subject: _____

RASHTRASANT TUKADOJI MAHARAJ NAGPUR UNIVERSITY
“(Established by Government of Central Provinces Education Department by Notification No. 513 dated the 1st of August, 1923 & presently a State University governed by Maharashtra Universities Act, 1994.)”

PROGRESS REPORT

To,

The Head, Place of Research Work

_____.

Subject: Progress report for the period from _____ to _____.

Sir/Madam,

I am submitting the submitting the progress report of Ph.D. research for the duration cited under the subject above as follows :

- 1) Name of the Research Student : _____
- 2) Name of Research Guide : _____
- 3) Date of Registration for Ph.D. Degree : _____
- 4) Place of Research Work : _____
- 5) Title of Research work : _____

- 5) Details in respect of Research work carried out during above mentioned period :

Signature of the Guide

FRONT /COVER PAGE OF THESIS

- 1) The colour of the cover of the thesis should be black
- 2) The name of the candidate should mention on the top side cover of Ph.D. Thesis
- 3) Title of the Thesis (In Block Letters)
- 4) Faculty
- 5) Subject
- 6) Name of Guide/Co-Guide
- 7) Date of thesis submission

Date _____ Month _____ Year _____

CERTIFICATE

This is to certify that the work presented in this thesis entitled :

“

_____” is the

own work of Shri /Smt./Ku. _____

conducted in Department / Institute / College of _____

under my supervision. This work has not been submitted earlier to any University/ Institution for any diploma or degree.

Date:

(Name & Designation with Signature of)Guide)

DECLARATION/UNDERTAKING

I hereby declare that the work presented in this thesis entitled :

“ _____

_____” was
carried out by me under the supervision of _____
from _____ to _____. This work which or any part of this work is
based on original research and has not been submitted by me to any University/ Institution for the
award of any diploma or degree.

Date:

(Name with Signature of Candidate)

CERTIFICATE

This is to certify that Shri /Smt./ Ku. _____ has presented his/her pre-submission seminar before the Committee and the synopsis is approved and forwarded to Research and Recognition Committee of _____ (Subject) in the Faculty of _____.

(Name and Signature of Guide)

(Head of Place of Research)