

**Karur Vysya Bank***Smart way to bank***Annexure - J****PART I - KNOW YOUR CLIENT (KYC)****APPLICATION FORM (For Individuals)**

DP ID : IN303382

MIID : P0750

THE KARUR VYSYA BANK LTD - DEMAT CELL
SECOND FLOOR No.29, RANGAN STREET, T. NAGAR,
CHENNAI - 600 017 PHONE : 044 - 2434 0318 / 74

Photograph

Please affix your recent passport size photograph

Please fill this form in ENGLISH and in BLOCK LETTERS

(C1)

Signature Across Photograph

A. IDENTITY DETAILS

1	Name of the Applicant																										
2	Father's / Husband's Name																										
3	a) Gender	<input type="checkbox"/> Male <input type="checkbox"/> Female	b) Marital status	<input type="checkbox"/> Single <input type="checkbox"/> Married	c) Date of Birth	D	D	M	M	Y	Y	Y	Y														
4	a) Nationality	<input type="checkbox"/> Indian <input type="checkbox"/> Other (Please specify, _____)	b) Status	<input type="checkbox"/> Resident Individual <input type="checkbox"/> Non Resident <input type="checkbox"/> Foreign National																							
5	a) PAN													b) Aadhaar Number, if any													
6	Specify the proof of identity submitted	<input type="checkbox"/> PAN card <input type="checkbox"/> Any other (Please specify; _____)																									

B. ADDRESS DETAILS

1	Residence / Correspondence Address	<input type="checkbox"/> Correspondence Address					<input type="checkbox"/> Residence Address				
		_____ _____ _____									
		City/town/village					PIN Code				
		State					Country				
2	Specify the proof of address submitted for Residence / correspondence address										
3	Contact Details	Tel. (Off.)					Tel. (Res.)				
		Fax No.					Mobile No.				
		Email ID									
4	Permanent Address (If different from above. Mandatory for Non-Resident Applicant to specify overseas address)	_____ _____ _____									
		City/town/village					PIN Code				
		State					Country				

C. DECLARATION

I hereby declare that the details furnished above are true and correct to the best of my knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am aware that I may be held liable for it.

(C2)

Signature of the Applicant _____

Date

D D M M Y Y Y Y

FOR OFFICE USE ONLY

Sr. No.	Particulars														
1	<input type="checkbox"/> Originals verified and Self-Attested Document copies received														
2	In-Person-Verification (IPV) details :														
	a)	Name of the person doing IPV													
	b)	Designation													
	c)	Name of Organization													
	d)	Signature							E1						
	e)	Date							D	D	M	M	Y	Y	Y
Name & Signature of the Authorised Signatory E2							Seal/Stamp of the intermediary								
Date											D	D	M	M	Y

**Karur Vysya Bank**

Smart way to bank

Annexure - J**PART II - ACCOUNT OPENING FORM****(For Individuals)**

DP ID : IN303382

MIID : P0750

THE KARUR VYSYA BANK LTD
DEMAT CELL, SECOND FLOOR, No.29, RANGAN STREET
T. NAGAR, CHENNAI - 600 017 PHONE : 044 - 2434 0318/74

Client - ID
 (To be filled by Participant)

--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--

I/We request you to open a depository account in my/our name as per the following details : (Please fill all the details in CAPITAL LETTERS only)

Date

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

A) Details of Account holder(s) :

Account holder(s)	Sole / First Holder	Second Holder	Third Holder			
Name						
PAN						
Occupation (Please tick any one and give brief details)	<input type="checkbox"/> Private Sector	<input type="checkbox"/> Agriculturist	<input type="checkbox"/> Private Sector	<input type="checkbox"/> Agriculturist	<input type="checkbox"/> Private Sector	<input type="checkbox"/> Agriculturist
	<input type="checkbox"/> Public Sector	<input type="checkbox"/> Retired	<input type="checkbox"/> Public Sector	<input type="checkbox"/> Retired	<input type="checkbox"/> Public Sector	<input type="checkbox"/> Retired
	<input type="checkbox"/> Government Service	<input type="checkbox"/> Housewife	<input type="checkbox"/> Government Service	<input type="checkbox"/> Housewife	<input type="checkbox"/> Government Service	<input type="checkbox"/> Housewife
	<input type="checkbox"/> Business	<input type="checkbox"/> Student	<input type="checkbox"/> Business	<input type="checkbox"/> Student	<input type="checkbox"/> Business	<input type="checkbox"/> Student
	<input type="checkbox"/> Professional	<input type="checkbox"/> Others, (Please specify; _____)	<input type="checkbox"/> Professional	<input type="checkbox"/> Others, (Please specify; _____)	<input type="checkbox"/> Professional	<input type="checkbox"/> Others, (Please specify; _____)
Brief Details:						

B) For HUF, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., although the account is opened in the name of the natural persons, the name & PAN of the HUF, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., should be mentioned below :

a) Name		b) PAN																	
---------	--	--------	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--

C) **Type of Account**

<input type="checkbox"/> Ordinary Resident	<input type="checkbox"/> NRI-Repatriable	<input type="checkbox"/> NRI-Non Repatriable
<input type="checkbox"/> Qualified Foreign Investor	<input type="checkbox"/> Foreign National	<input type="checkbox"/> Promoter
<input type="checkbox"/> Margin	<input type="checkbox"/> Others (Please specify) _____	

D) **Gross Annual Income Details**

Income Range per annum (please tick any one)

<input type="checkbox"/> Below ₹ 1 lac	<input type="checkbox"/> ₹ 1 – 5 lac	<input type="checkbox"/> ₹ 5 – 10 lac
<input type="checkbox"/> ₹ 10 - 25 lac	<input type="checkbox"/> More than ₹ 25 lac	

E) **In case of NRIs / Foreign Nationals**

RBI Approval Reference Number _____

RBI Approval Date

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

F) **Bank Details**

1	Bank account Type	<input type="checkbox"/> Savings Account	<input type="checkbox"/> Current Account	<input type="checkbox"/> Others (Please specify) _____															
2	Bank Account Number																		
3	Bank Name																		

4	Branch Address											
		City/Town/Village						Pin Code				
		State						Country				
5	MICR Code											
6	IFSC											

G) Please tick, if applicable : Politically Exposed Person (PEP) Related to a Politically Exposed Person (PEP)

H) **Standing Instructions**

1	I/We authorise you to receive credits automatically into my/our account.	<input type="checkbox"/> Yes <input type="checkbox"/> No
2	Account to be operated through Power of Attorney (PoA)	<input type="checkbox"/> Yes <input type="checkbox"/> No
3	SMS Alert facility: [Mandatory if you are giving Power of Attorney (PoA). Ensure that the mobile number is provided in the KYC Application Form]	
	Sr. No.	Holder
	1	Sole / First Holder
	2	Second Holder
	3	Third Holder
		Yes
		No
4	Mode of receiving Statement of Account [Tick any one]	<input type="checkbox"/> Physical Form <input type="checkbox"/> Electronic Form [Read Note 4 and ensure that email ID is provided in KYC Application Form].

I) **Guardian Details** (where sole holder is a minor) :
[For account of a minor, two KYC Application Forms must be filled i.e. one for the guardian and another for the minor (to be signed by guardian)]

Guardian Name										
PAN										
Relationship of guardian with minor										

J) **Nomination Option**

<input type="checkbox"/> I / We wish to make a nomination. [As per details given below]	<input type="checkbox"/> I / We do not wish to make a nomination. [Strike off the nomination details below]
Nomination Details	
I / We wish to make a nomination and do hereby nominate the following person in whom all rights and / or amount payable in respect of securities held in the Depository by me / us in the said beneficiary owner account shall vest in the event of my / our death.	
1	Name of the Nominee (Mr./Ms.)
2	Relationship with the Applicant (if any)

3	Address of Nominee										
		City/Town/Village					Pin Code				
		State					Country				
4	Contact Details of nominee	Tel. (Off.)					Tel. (Res.)				
		Fax. No.					Mobile. No.				
		E-mail ID									

5. Nominee Identification details (Please tick one from (a) to (f) and provide details of the same)

(a)	<input type="checkbox"/>	(i)	Photograph					Signature				
			<div style="border: 1px solid black; padding: 5px; text-align: center;"> Photograph of nominee (Signature of nominee across photograph) </div>					<div style="border: 1px solid black; padding: 5px; text-align: center;"> <i>X Signature of nominee</i> </div>				
(b)	<input type="checkbox"/>	PAN of nominee										
(c)	<input type="checkbox"/>	Aadhaar number of nominee										
(d)	<input type="checkbox"/>	Savings bank account number of nominee, if maintained with the same Participant					Bank Account Number					
(e)	<input type="checkbox"/>	Copy of any proof of identity document (accompanied by original for verification or duly attested by any entity authorized for attesting the documents, as provided in AnnexureJB)										
(f)	<input type="checkbox"/>	Demat account details of nominee					DP ID					
							Client ID					

Sr.Nos. 6-11 should be filled only if nominee is a minor :

6	Date of Birth (in case of minor nominee)	D	D	M	M	Y	Y	Y	Y
7	Name of Guardian (Mr./Ms.) (in case of minor nominee)								
8	Address of Guardian								
		City/town/village				PIN Code			
		State				Country			
9	Contact Details of Guardian	Tel.(Off.)				Tel. (Res.)			
		Fax No.				Mobile No.			
		E-mail ID							
10	Relationship of Guardian with nominee								

11. Guardian Identification details (please tick any one from (a) to (f) and provide details of the same)	
(a) <input type="checkbox"/>	(i) Photograph
	<div style="border: 1px solid black; padding: 5px; width: fit-content; margin: auto;"> <p style="text-align: center;">Photograph of guardian</p> <p style="text-align: center;">G1</p> <p style="text-align: center;">(Signature of guardian across photograph)</p> </div>
(ii) <input type="checkbox"/>	Signature
	G2 <i>X Signature of Guardian</i>
(b) <input type="checkbox"/>	PAN of guardian
(c) <input type="checkbox"/>	Aadhaar number of guardian
(d) <input type="checkbox"/>	Savings bank account number of guardian, if maintained with the same Participant
(e) <input type="checkbox"/>	Copy of any proof of identity document (accompanied by original for verification or duly attested by any entity authorized for attesting the documents, as provided in Annexure JB)
(f) <input type="checkbox"/>	Demat account details of guardian
	Client ID

Declaration

The rules and regulations of the Depository and Depository Participants pertaining to an account which are in force now have been read by me/us and I/we have understood the same and I/we agree to abide by and to be bound by the rules as are in force from time to time for such accounts. I/we hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I/we undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am /we are aware that I/we may be held liable for it. In case non-resident account, I/we also declare that I/we have complied and will continue to comply with FEMA regulations. I/we acknowledge the receipt of copy of the document, "Rights and Obligations of the Beneficial Owner and Depository Participant".

Name(s) of holder(s)	Signature(s) of holder(s)
Sole/First Holder/ Guardian (in case sole holder is minor (Mr./Ms.))	C3 X
Second Holder (Mr./Ms.)	X
Third Holder (Mr./Ms.)	X

Notes :

1. All communication shall be sent at the address of the Sole/First holder only.
2. Thumb impression and signatures other than English or Hindi or any of the other language not contained in the 8th Schedule of the Constitution of India must be attested by a Magistrate or a Notary Public or a Special Executive Magistrate.
3. Instructions related to nomination, are as below :
 - I. The nomination can be made only by individuals holding beneficiary owner accounts on their own behalf singly or jointly. Non-individuals including society, trust, body corporate, partnership firm, karta of Hindu Undivided Family, holder of power of attorney cannot nominate. If the account is held jointly all joint holders will sign the nomination form.
 - II. A minor can be nominated. In that event, the name and address of the Guardian of the minor nominee shall be provided by the beneficial owner.
 - III. The Nominee shall not be a trust, society, body corporate, partnership firm, karta of Hindu Undivided Family or a power of Attorney holder. A non-resident Indian can be a Nominee, subject to the exchange controls in force, from time to time.

MOBILE NUMBER AND EMAIL ID DECLARATION

Format of Request

[Please tick (v) wherever applicable]

1ST HOLDER

DP ID		Client ID		Date	
Name of account holder					
<input type="checkbox"/> Mobile Number					
<input type="checkbox"/> Email ID					
I hereby declare that the aforesaid mobile number or E-mail ID belongs to <input type="checkbox"/> Me or <input type="checkbox"/> My family (<i>spouse, dependent children and dependent parents</i>).					
Signature of account holder					
Name of account holder					

Format of Request

[Please tick (v) wherever applicable]

2ND HOLDER

DP ID		Client ID		Date	
Name of account holder					
<input type="checkbox"/> Mobile Number					
<input type="checkbox"/> Email ID					
I hereby declare that the aforesaid mobile number or E-mail ID belongs to <input type="checkbox"/> Me or <input type="checkbox"/> My family (<i>spouse, dependent children and dependent parents</i>).					
Signature of account holder					
Name of account holder					

Format of Request

[Please tick (v) wherever applicable]

3RD HOLDER

DP ID		Client ID		Date	
Name of account holder					
<input type="checkbox"/> Mobile Number					
<input type="checkbox"/> Email ID					
I hereby declare that the aforesaid mobile number or E-mail ID belongs to <input type="checkbox"/> Me or <input type="checkbox"/> My family (<i>spouse, dependent children and dependent parents</i>).					
Signature of account holder					
Name of account holder					

SECTION : F SCHEDULE OF CHARGES FOR DEPOSITORY SERVICES				
S.NO	FEE HEAD	FEES	NSDL Charges are extra at actual Present NSDL Charges are	
01.	Account Opening	Nil	SELL	Rs.4.50/- per debit instruction,
02.	Stamp Duty / Kit Charges	Nil	(Market/ Off-Market)	Nil for commercial paper and short term Debt instrument
03.	DEMAT	Rs.30/- per certificate/per company Rs.5/- for every additional certificate	REMATERIALISATION	Rs.10/- per certificate for 100 Shares
04.	Account Maintenance Charges: Resident Individual Others	Rs.250/- per annum (up front) Rs.500/- per annum (up front)	PLEDGE CREATION	Rs.25/- per instruction
			NSDL Annual Maintenance charges Rs.500 (additional) for Corporate Accounts.	
			CONDITIONS :	
05.	Transaction (Market and Off Market)	Sell Rs.19/-or 0.02% of market value which ever is higher. Maximum of Rs.500/- per instruction + NSDL charges Buy NIL	1. The value of shares and charges are calculated as per NSDL formula and rates.	
06.	Pledge Creation /Confirmation	0.02% of Market value with a minimum of Rs.25/- per instruction. Maximum of Rs.250/-+ NSDL Charges	2. There will be a charge Rs.100/- for dishonour of any cheque or unsuccessful attempt to recover payment through direct debit or ECS. The depository services are liable to discontinuation if KVB is unable to recover charges from the customer, for any reason whatsoever. In such cases, there will be a charge of Rs.250/- for resumption of services and the services will be resumed after a minimum of three working days from the date of receipt of request at KVB Demat Cell Chennai.	
07.	Pledge Closure	0.02% of Market value with a minimum of Rs.25/- per instruction. Maximum of Rs.250/-	3. Any service that is not indicated above will be charged separately as per the rates applicable from time to time.	
08.	Pledge Invocation	Rs.20/- per instruction	4. KVB reserves the rights to revise the tariff structure from time to time with a notice of 30 days.	
09.	Account closing	Nil	5. The mentioned charges are exclusive of service tax and any other charges levied by Govt. bodies / Statutory authorities etc from time to time.	
10.	REMAT	Rs.20/- per instruction + NSDL charges		
11.	Failed instruction / Late charges	Rs.25/- per instruction		

C5

Sole / First Holder

Second Holder

Third Holder

SECTION : F SCHEDULE OF CHARGES FOR DEPOSITORY SERVICES				
S.NO	FEE HEAD	FEES	NSDL Charges are extra at actual Present NSDL Charges are	
01.	Account Opening	Nil	SELL	Rs.4.50/- per debit instruction,
02.	Stamp Duty / Kit Charges	Nil	(Market/ Off-Market)	Nil for commercial paper and short term Debt instrument
03.	DEMAT	Rs.30/- per certificate/per company Rs.5/- for every additional certificate	REMATERIALISATION	Rs.10/- per certificate for 100 Shares
04.	Account Maintenance Charges: Resident Individual Others	Rs.250/- per annum (up front) Rs.500/- per annum (up front)	PLEDGE CREATION	Rs.25/- per instruction
			NSDL Annual Maintenance charges Rs.500 (additional) for Corporate Accounts.	
			CONDITIONS :	
05.	Transaction (Market and Off Market)	Sell Rs.19/-or 0.02% of market value which ever is higher. Maximum of Rs.500/- per instruction + NSDL charges Buy NIL	1. The value of shares and charges are calculated as per NSDL formula and rates.	
06.	Pledge Creation /Confirmation	0.02% of Market value with a minimum of Rs.25/- per instruction. Maximum of Rs.250/-+ NSDL Charges	2. There will be a charge Rs.100/- for dishonour of any cheque or unsuccessful attempt to recover payment through direct debit or ECS. The depository services are liable to discontinuation if KVB is unable to recover charges from the customer, for any reason whatsoever. In such cases, there will be a charge of Rs.250/- for resumption of services and the services will be resumed after a minimum of three working days from the date of receipt of request at KVB Demat Cell Chennai.	
07.	Pledge Closure	0.02% of Market value with a minimum of Rs.25/- per instruction. Maximum of Rs.250/-	3. Any service that is not indicated above will be charged separately as per the rates applicable from time to time.	
08.	Pledge Invocation	Rs.20/- per instruction	4. KVB reserves the rights to revise the tariff structure from time to time with a notice of 30 days.	
09.	Account closing	Nil	5. The mentioned charges are exclusive of service tax and any other charges levied by Govt. bodies / Statutory authorities etc from time to time.	
10.	REMAT	Rs.20/- per instruction + NSDL charges		
11.	Failed instruction / Late charges	Rs.25/- per instruction		

C6

Sole / First Holder

Second Holder

Third Holder

Rights and Obligations of Beneficial Owner and Depository Participant as prescribed by SEBI and Depositories

General Clause

1. The Beneficial Owner and the Depository participant (DP) shall be bound by the provisions of the Depositories Act, 1996, SEBI (Depositories and Participants) Regulations, 1996, Rules and Regulations of Securities and Exchange Board of India (SEBI), Circulars/Notifications/Guidelines issued there under, Bye Laws and Business Rules/Operating Instructions issued by the Depositories and relevant notifications of Government Authorities as may be in force from time to time.
2. The DP shall open/activate demat account of a beneficial owner in the depository system only after receipt of complete Account opening form, KYC and supporting documents as specified by SEBI from time to time.

Beneficial Owner information

3. The DP shall maintain all the details of the beneficial owner(s) as mentioned in the account opening form, supporting documents submitted by them and/or any other information pertaining to the beneficial owner confidentially and shall not disclose the same to any person except as required by any statutory, legal or regulatory authority in this regard.
4. The Beneficial Owner shall immediately notify the DP in writing, if there is any change in details provided in the account opening form as submitted to the DP at the time of opening the demat account or furnished to the DP from time to time.

Fees/Charges/Tariff

5. The Beneficial Owner shall pay such charges to the DP for the purpose of holding and transfer of securities in dematerialized form and for availing depository services as may be agreed to from time to time between the DP and the Beneficial Owner as set out in the Tariff Sheet provided by the DP. It may be informed to the Beneficial Owner that "*no charges are payable for opening of demat accounts*"
6. In case of Basic Services Demat Accounts, the DP shall adhere to the charge structure as laid down under the relevant SEBI and /or Depository circulars / directions / notifications issued from time to time.
7. The DP shall not increase any charges/tariff agreed upon unless it has given a notice in writing of not less than thirty days to the Beneficial Owner regarding the same.

Dematerialization

8. The Beneficial Owner shall have the right to get the securities, which have been admitted on the Depositories, dematerialized in the form and manner laid down under the Bye Laws, Business Rules and Operating Instructions of the depositories.

Separate Accounts

9. The DP shall open separate accounts in the name of each of the beneficial owners and securities of each beneficial owner shall be segregated and shall not be mixed up with the securities of other beneficial owners and/or DP's own securities held in dematerialized form.
10. The DP shall not facilitate the Beneficial Owner to create or permit any pledge and /or hypothecation or any other interest or encumbrance over all or any of such securities submitted for dematerialization and/or held in demat account except in the form and manner prescribed in the Depositories Act, 1996, SEBI (Depositories and Participants) Regulations, 1996 and Bye-Laws/Operating Instructions/Business Rules of the Depositories.

Transfer of Securities

11. The DP shall effect transfer to and from the demat accounts of the Beneficial Owner only on the basis of an order, instruction, direction or mandate duly authorized by the Beneficial Owner and the DP shall maintain the original documents and the audit trail of such authorizations.
12. The Beneficial Owner reserves the right to give standing instructions with regard to the crediting of securities in his demat account and the DP shall act according to such instructions.

Statement of account

13. The DP shall provide statements of accounts to the beneficial owner in such form and manner and at such time as agreed with the Beneficial Owner and as specified by SEBI/depository in this regard.
14. However, if there is no transaction in the demat account, or if the balance has become Nil during the year, the DP shall send one physical statement of holding annually to such Bos and shall resume sending the transaction statement as and when there is a transaction in the account.
15. The DP may provide the services of issuing the statement of demat accounts in an electronic mode if the Beneficial Owner so desires. The DP will furnish to the Beneficial Owner the statement of demat accounts under its digital signature, as governed under the Information Technology Act, 2000. However if the DP does not have the facility of providing the statement of demat account in the electronic mode, then the Participant shall be obliged to forward the statement of demat accounts in physical form.
16. In case of Basic Services Demat Accounts, the DP shall send the transaction statements as mandated by SEBI and/or Depository from time to time.

Manner of Closure of Demat account

17. The DP shall have the right to close the demat account of the Beneficial Owner, for any reasons whatsoever, provided the DP has given a notice in writing of not less than thirty days to the Beneficial Owner as well as to the Depository. Similarly, the Beneficial Owner shall have the right to close his/her demat account held with the DP provided no charges are payable by him/her to the DP. In such an event, the Beneficial Owner shall

specify whether the balances in their demat account should be transferred to another demat account of the Beneficial Owner held with another DP or to rematerialize the security balances held.

18. Based on the instructions of the Beneficial Owner, the DP shall initiate the procedure for transferring such security balances or rematerialize such security balances within a period of thirty days as per procedure specified from time to time by the depository. Provided further, closure of demat account shall not affect the rights, liabilities and obligations of either the Beneficial Owner or the DP and shall continue to bind the parties to their satisfactory completion.

Default in payment of charges

19. In event of Beneficial Owner committing a default in the payment of any amount provided in Clause 5 & 6 within a period of thirty days from the date of demand, without prejudice to the right of the DP to close the demat account of the Beneficial Owner, the DP may charge interest at a rate as specified by the Depository from time to time for the period of such default.
20. In case the Beneficial Owner has failed to make the payment of any of the amounts as provided in Clause 5&6 specified above, the DP after giving two days notice to the Beneficial Owner shall have the right to stop processing of instructions of the Beneficial Owner till such time he makes the payment along with interest, if any.

Liability of the Depository

21. As per Section 16 of Depositories Act, 1996,
 1. Without prejudice to the provisions of any other law for the time being in force, any loss caused to the beneficial owner due to the negligence of the depository or the participant, the depository shall indemnify such beneficial owner.
 2. Where the loss due to the negligence of the participant under Clause (1) above, is indemnified by the depository, the depository shall have the right to recover the same from such participant.

Freezing/Defreezing of accounts

22. The Beneficial Owner may exercise the right to freeze/defreeze his/her demat account maintained with the DP in accordance with the procedure and subject to the restrictions laid down under the Bye Laws and Business Rules/Operating Instructions.
23. The DP or the Depository shall have the right to freeze/defreeze the accounts of the Beneficial Owners on receipt of instructions received from any regulator or court or any statutory authority.

Redressal of Investor grievance

24. The DP shall redress all grievances of the Beneficial Owner against the DP within a period of thirty days from the date of receipt of the complaint.

Authorized representative

- 25. If the Beneficial Owner is a body corporate or a legal entity, it shall, along with the account opening form, furnish to the DP, a list of officials authorized by it, who shall represent and interact on its behalf with the Participant. Any change in such list including additions, deletions or alterations thereto shall be forthwith communicated to the Participant.

Law and Jurisdiction

- 26. In addition to the specific rights set out in this document, the DP and the Beneficial owner shall be entitled to exercise any other rights which the DP or the Beneficial Owner may have under the Rules, Bye Laws and Regulations of the respective Depository in which the demat account is opened and circulars/notices issued there under or Rules and Regulations of SEBI.
- 27. The provisions of this document shall always be subject to Government notification, any rules, regulations, guidelines and circulars/ notices issued by SEBI and Rules, Regulations and Bye-laws of the relevant Depository, where the Beneficial Owner maintains his/ her account, that may be in force from time to time.
- 28. The Beneficial Owner and the DP shall abide by the arbitration and conciliation procedure prescribed under the Bye-laws of the depository and that such procedure shall be applicable to any disputes between the DP and the Beneficial Owner.
- 29. Words and expressions which are used in this document but which are not defined herein shall unless the context otherwise requires, have the same meanings as assigned thereto in the Rules, Bye-laws and Regulations and circulars/notices issued there under by the depository and /or SEBI
- 30. Any changes in the rights and obligations which are specified by SEBI / Depositories shall also be brought to the notice of the clients at once.
- 31. If the rights and obligations of the parties hereto are altered by virtue of change in Rules and regulations of SEBI or Bye-laws, Rules and Regulations of the relevant Depository, where the Beneficial Owner maintains his/her account, such changes shall be deemed to have been incorporated herein in modification of the rights and obligations of the parties mentioned in this document.
